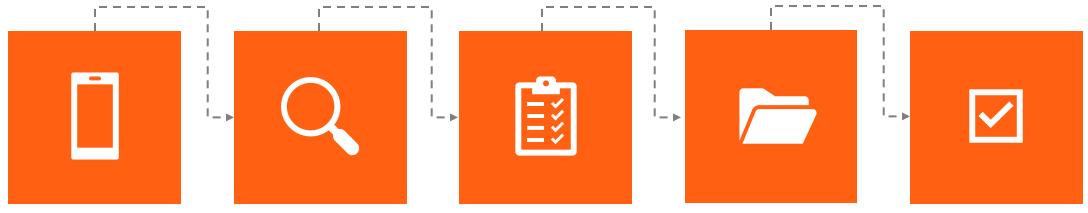
Ethics and compliance investigation process flow



Concern is voiced

A concern is raised by contacting the Ethics & Compliance Office, a member of the local Ethics Network or through the Ethics Helpline.

Initial assessment

A member of the Ethics & Compliance Office reviews the report, determines if an investigation is required and assigns an investigator as needed.

Information gathering

The investigator reviews relevant documents, conducts interviews and consults with subject matter experts.

Review and Recommendations

Once the investigation is complete, a determination is made regarding the appropriate outcome and recommendations.

Follow-up

The investigator notifies the report filer once the investigation is complete. The Ethics & Compliance Office will also monitor the implementation of any recommendations arising out of the investigation.