



RCS

GLOBAL GROUP

An SLR[®] company

RESPONSIBLE SOURCING AUDIT REPORT AUDITEE LEGAL NAME

GlobalFoundries

Responsible Sourcing Audit Programme

29 – 30 April 2025



1. AUDITOR AND AUDITEE INFORMATION

Assessment firm	RCS Global Ltd. 1 Bartholomew Lane, EC2N 2AX, London UK contact@rcsglobal.com
Auditor(s) name(s)	Kerem Karpuz
Auditee	GlobalFoundries U.S. Inc.
Auditee address	400 Stonebreak Road Extension, Malta, NY 12020, USA
Audit dates	29 – 30 April 2025

Note: This audit report shall not be shared with any other third party or be published unless agreed in writing by RCS Global Group.

2. AUDITOR(S) STATEMENT:

1. The audit findings are concluded based on information provided by the Auditee, which is true and accurate to the best knowledge of the auditor(s).
2. The findings are based on verified objective evidence relevant to the time period of the audit.
3. The auditor(s) have acted in a manner deemed ethical, truthful, accurate, professional, independent, and objective.
4. The auditor(s) are properly qualified to carry out the audit.



3. AUDIT SCOPE

Audit objectives	The objective of the audit is to identify risks in GlobalFoundries supply chain through a remote audit at the Headquarters as relevant to the minerals scope.
Audit type	Re-audit
Audit material	<ul style="list-style-type: none">• Cobalt, Tantalum, Tin, Tungsten, and Gold (3TG)
Audit period	01 May 2024 – 30 April 2025
Audit Criteria	<ul style="list-style-type: none">• OECD Due Diligence Guidance for Responsible Supply Chains of Minerals from Conflict-Affected and High-Risk Areas, 3rd Edition (“OECD Due Diligence Guidance”)
Facilities Covered	The facilities covered are as below: Fab 1 – Dresden, Germany Fab 2, 3, 5, 7 – Singapore Fab 8 – Malta, NY, USA Fab 9 – Burlington, VT, USA
Audit activities	<p>This re-audit evaluates the auditee’s due diligence practices and management systems and assesses them against the OECD Due Diligence Guidance.</p> <p>This audit evaluates the auditee’s due diligence practices and management systems and assesses them against the above Audit Criteria.</p> <p>No exceptional management issues and no integrity issues are encountered during the audit. The audit findings are based on objective evidence gathered through management and staff interviews, pertinent documents/records review, and workplace observations.</p> <p>During the closing meeting, the Auditor communicated post-audit process to the auditee. No Non-conformance were identified during 2-days remote re-audit.</p>
Auditee staff present at the opening and closing meeting	<p>The auditee staffs below are present at both the opening and closing meeting:</p> <ul style="list-style-type: none">• Product Stewardship Program Manager, Corporate EHS and Sustainability• Director, Corporate EHS and Sustainability• Contractor Consultant, Responsible Minerals• Manager, Corporate EHS and Sustainability <p>The auditee staff below were present at the opening and whenever needed as per relevant RSAQ item:</p> <ul style="list-style-type: none">• 3 Associates, Quality• 2 Associates, Employee Relations• HR operations• Fab 9 HR• Global HR staffing



	<ul style="list-style-type: none"> • Business Ethics and Compliance • 5 Associates, GSM - Procurement • Logistics and Warehousing – Fab 8 • 3 Associates, Logistics and Warehousing – Fab 9 • Fab 8 and Fab 9 Chemical Management • Singapore Chemical Management • Dresden Chemical Management • Fab 8 Chemical Management • Fab 9 Chemical Management • Logistics and Warehouseing - Dresden
Limitations	Due to the remote nature of the assessment, physical site interaction and employee interviews couldn't be conducted. Triangulation methodology may not have been fully fulfilled.

4. INFORMATION ON THE AUDITEE

Description of company activity	<p>GlobalFoundries (henceforth “the Auditee”) is a leading semiconductor foundry that manufactures complex, feature-rich integrated circuits (ICS) that include wafers and modules.</p> <p>GF was established in 2009 and operates at different locations to innovate and collaborate with customers to deliver power-efficient, high-performance solutions for automotive, smart mobile devices, Internet of Things, communications infrastructure, and other high-growth markets.</p> <p>GF is also a world-class test solutions provider with over 600 test solutions as well as Wafer, Module and Engineering services. GF Manufacturing Services is a network designed to seamlessly from chip design to wafer fabrication to final assembly and test.</p> <p>The Auditee currently operates four manufacturing sites, called fabs, in:</p> <p>Dresden, Germany (Tungsten, Tantalum, Tin and Gold);</p> <p>Singapore (Tantalum and Tungsten and Cobalt);</p> <p>Malta, New York (Tungsten and Tantalum);</p> <p>Burlington, Vermont (Tungsten, Tantalum, Gold and Cobalt).</p>
Ownership	Mubadala Investment Company is the majority shareholder (~82%), the rest is publicly traded on NASDAQ.
List of operations taking place at assessed facilities	Semiconductor Manufacturing operations.
Description of visited facilities	Not applicable - No site visits have been conducted as it was a remote audit conducted via online platform.
The review of production processes and capabilities	Yes



confirms that the auditee, including ALL facilities in scope of this assessment does NOT qualify as a “smelter” or “refiner”	
List all products the auditee manufactures (and, where available, % purity)	<p>Not a specific list of products.</p> <p>GF sells semiconductors. For wafer deliverables the auditee has them grouped into material families called TechNodes based on the geometry and the similar material characteristics.</p> <p>See further details below.</p> <p>The auditee manufactures complex, essential ICs that are used in billions of electronic devices across various industries. Their specialized foundry manufacturing processes, extensive library of qualified circuit-building block designs (known as IP titles or IP blocks), and advanced transistor and device technology allow them to serve a wide range of customers, including global leaders in IC design. They focus on providing optimized solutions for critical applications that drive key secular growth end markets, ensuring function, performance, and power requirements are met. Their differentiated foundry solutions redefine the industry by offering essential chip solutions that empower the customers to develop innovative products for a wide range of applications in diverse markets and bring their products to market quickly and cost effectively.</p> <p>GF focuses on essential devices that include digital, analogue, mixed-signal, radio frequency (“RF”), ultra-low power and embedded memory solutions that connect, secure and process data, and efficiently power the digital world around them. To meet the needs of the customers, The Auditee devotes R&D efforts to a diversified range of differentiated technology platforms in these key categories: Complementary Metal-Oxide Semiconductor (“CMOS”) for both Feature-Rich and Ultra-Low Power, RF, Power and Silicon Photonics (“SiPh”). Specifically, within these categories, their key technology platforms include RF SOI, FDXTM, Fin Field-Effect Transistor (“FinFET”), Bipolar-CMOS-DMOS (“BCD”), BCDLite, Silicon Germanium (“SiGe”) and Gallium Nitride (“GaN”) products.</p>
Other comments	None

5. AUDIT RESULT

5.1 Due diligence management systems

5.1.1 Summary

Criterion	Conformance
A Due Diligence Management System	
A1. Strong management system	
A1.1. Published and communicated responsible sourcing policy	Conform



A1.2. Internal management structured to support supply chain due diligence	Conform
A1.3. Established system of controls and transparency over the mineral supply chain, including chain of custody/traceability	Conform
A1.4. Strong supplier engagement	Conform
A1.5. Established grievance mechanism and associated procedure(s)	Conform
A2. Risk assessment	
A2.1. Qualitative information on suppliers is collected	Conform
A2.2. Collected information is evaluated for risks	Conform
A2.3. Identified risks are assessed	Conform
A3. Risk mitigation	
A3.1. Designed risk mitigation plan	Conform
A3.2. Relevant suppliers and stakeholders consulted for risk mitigation	Conform
A3.3. The performance of risk mitigation efforts is monitored	Conform
A4. Third party audits	
A4.1. Choke points due diligence management system are audited by third party	Conform
A5. Public reporting	
A5.1. Public reporting on the supply chain due diligence program	Conform
B.1. Adequate human rights, environment and health and safety practices	
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